Receipt date: 10/23/2006

10586824 - GAUSS777

# Modified Version of PTO/SB/21

THE US.	Application Number	10/586824
PARANSMITTAL	Filing Date	7/18/2006
FORM	First Named Inventor	Gregory Faris
\a	Art Unit	
for all correspondence after initial filing)	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	SRI-110/PCT/US

	ENCLOSURES (Check all that apply)						
☐ Fee Transmittal	Form		☐ Drawings		After Allowance Comm. to TC		vance Comm. to TC
☐ Fee Attached	Licensing-related papers		ers		• •	mm. to Board of and Interferences	
☐ Amendment/Rep	bly		Petition				mm. to TC tice, Brief, Reply Brief)
After Final			Petition to Convert to Provisional Application			Proprietary	y Information
☐ Affidavits/De	eclaration(s)		Power of Attorney, Re Change of Corresp. A			Status Let	ter
☐ Extension of Tim	☐ Extension of Time Request		Terminal Disclaimer			Other (Spe	ecified below)
Express Abandonment Request			Request for Refund				
☑ Information Disc	losure Statement	CD, Number of CD(s)					
☐ Certified Copy of	f Priority Doc(s)	☐ Landscape Table on CD					
Reply to Missing Incomplete Appli		Other:					
Reply to Missing Parts under 37 CFR 1.52 or 1.53					•••••		
	SIGNAT	URE	OF APPLICANT, ATT	ORNEY, OR	AGE	NT	
FIRM NAME	LUMEN INTELLECTUAL PROPERTY SERVICES, Inc.						
SIGNATURE		$\mathcal{R}_{\omega}$	ni claude				
PRINTED NAME	Ron Jacobs						
DATE	10/19/06			REGISTRAT	ION	NUMBER	50,142

# I hereby certify that this correspondence is being fascimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below: SIGNATURE PRINTED NAME Abigail Capulong DATE 10/19/06

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Repetit dayte: 10/23/2006

Lumen IDS Transmittal

( <u>\$</u>				Application Number	10/586824
FOF	RMATIC	ON DISC	CLOSURE	Filing Date	7/18/2006
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Gregory Faris
IDS				Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	SRI-110/PCT/US

This is a submission of a completed IDS and copies of the pertinent parts of the references cited herein. It is requested that the document(s) on the enclosed form(s) be made of record in the above-identified application.

### Part I Authority

This statement is filed pursuant to:

- 37 CFR 1.97(b). This IDS is filed either (1) within three months of the filing date of the national application; (2) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of an RCE under 37 CFR 1.114, whichever event occurs last. Accordingly, this IDS requires no fee and no certification.
- 37 CFR 1.97(c). This IDS is filed after the period specified in 37 CFR 1.97(b), but before the mailing date of either (1) a final office action under 37 CFR 1.113 or (2) a notice of allowance under 37 CFR 1.311. Accordingly, this IDS requires either the fee specified in 37 CFR 1.17(p) for submission of an IDS under 37 CFR 1.97(c), or a certification according to 37 CFR 1.97(e).
- 37 CFR 1.97(d). This IDS is filed after the period specified in 37 CFR 1.97(c). Accordingly, this IDS requires the fee specified in 37 CFR 1.17(p) for submission of an IDS under 37 CFR 1.97(d), and a certification according to 37 CFR 1.97(e).

# **Conditional Petition**

It is respectfully requested that this IDS be considered, good cause presented in Part III herein (certification). Please treat this paper as the required petition or as conditional petition to the extent required for acceptance of the IDS. If this IDS crosses in the mail with an office action, or is otherwise not in the indicated category of 37 CFR 1.97, it is respectfully requested that this IDS be treated in the next appropriate category and made of record.

# Part II Payment

_		-		
図	NIA	too	ic	due
$\sim$	INU	100	13	uuc

The fee specified in 37 CFR 1.17(p) for submission of an IDS under 37 CFR 1.97(c), (d) or (e) is enclosed.

# Part III Certification

- Pursuant to 37 CFR 1.97(e), I certify that no certification is necessary.
- Pursuant to 37 CFR 1.97(e), I certify that each item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.
- Pursuant to 37 CFR 1.97(e), I certify that no item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c), more than three months prior to the filling of the IDS.

# Part IV Additional Statement

An additional statement regarding these items of information ( ) is, ( ) is not, enclosed. Copies of the cited documents ( ) are enclosed, ( ) are of record in the parent application with serial No. and will be provided if the Examiner deems it convenient.

Respectfully submitted,	Kondanh	
Ron Jacobs	TELEPHONE	650-424-0100
10/19/06	REGISTRATION NUMBER	50,142

# Modified Version of PTO/SB/08A (Substitute for Form 1449/PTO

INFORMATION DISCLOSURE				Application Number	10/586824
				Filing Date	7/18/2006
STATEMENT BY APPLICANT IDS			PLICANT	First Named Inventor	Gregory Faris
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	SRI-110/PCT/US

		Į.	JS Patent Document	ts		_
Examiner Initials	Cite No.	Document Number Number/Kind Code	Publication Date mm-dd-yyyy	Name	Relevant Information	
	A	US- 6159445	12/12/00	Klaveness et al.		
	В	US- 6587703	7/1/03	Cheng et al.		
	С	US- 5406950	4/18/95	Brandenburger et al.		
· · · · · · · · · · · · · · · · · · ·		US-				
·		US-	M			
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				-
		US-				
		US-				
		US-				
		For	eign Patent Docume	ents		
Examiner Initials	Cite No.	Document Number Country/Number/Kind Code	Publication Date mm-dd-yyyy	Name	Relevant Information	Т
						<u> </u>
						<u> </u>

Examiner /Joel Brutus/ Date Considered 04/22/2011

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.